



April 2021

Subject: Children’s Waiver HCBS Policies

Health Home Care Managers and Children’s HCBS Providers,

The State is pleased to announce the release of additional policies to support Children’s Waiver Home and Community Bases Services (HCBS). On the next page is a list of policies, release dates, effective dates, and applicable parties – Health Homes Serving Children (HHSC)/Care Management Agencies (CMAs), HCBS Providers, or both.

It is expected that policies and procedures that apply to your agency/organization are implemented in time to meet the effective date. At the time of future care reviews, audits, and re-designations; Health Homes, Care Management Agencies, and HCBS Providers will have to demonstrate that they have implemented these policies and procedures and ensure proper staff training.

The NYS DOH is providing webinars that will be recorded and posted to the [NYS DOH website](#) that may be utilized to meet the training requirement, however, agencies/organizations should consider how to supplement and support staff to the various requirements.

If you have any questions regarding these policies or Children’s Waiver HCBS, please contact BH.Transition@health.ny.gov



Policy Title, Released Date, Effective Date, and Related Entity

Policy Title	Link	Posted Date	Effective Date	Applicable to Health Homes	Applicable to HCBS Providers
Children’s HCBS Plan of Care (POC) Workflow Policy	Link	9/1/2019	10/1/2019	Yes	Yes
HH POC Policy <i>Note: includes a section specific to Children’s Waiver</i>	Link	7/30/2019	10/1/2019	Yes	No
Conflict Free Care Management (CFCM) Policy	Link	Revised 5/1/2020	2/1/2020	Yes	No
HH Reportable Incidents Policies and Procedures <i>Note: includes a section specific to Children’s Waiver</i>	Link	Revised 10/7/2019	7/14/2017	Yes	No
HCBS Provider Incident Reporting Policy	Link	12/31/2020	4/1/2021	No	Yes
HHSC Grievances and Complaints Policy	Link	2/9/2021	4/1/2021	Yes	No
HCBS Provider Grievances and Complaints Policy	Link	2/9/2021	4/1/2021	No	Yes
HH Background Check Requirements	Link	4/1/2018	4/1/2018	Yes	No
HCBS Provider Background Check Policy	Link	12/31/2020	4/1/2021	No	Yes
HCBS Documentation Policy		TBD	TBD	Yes	Yes
HCBS Provider Designation and Re-designation Policy		TBD	TBD	No	Yes
HCBS Provider De-designation Policy	Link	9/1/2020	9/1/2020	No	Yes
Children’s HCBS Enrollment Policy	Link	1/26/2021	4/1/2021	Yes	Yes
Children’s HCBS Disenrollment Policy	Link	1/26/2021	4/1/2021	Yes	Yes
HCBS Notice of Decision Policy		TBD	TBD	Yes	Yes
HHSC Transitional Age Youth Policy		TBD	TBD	Yes	No
HCBS Provider Transitional Age Youth Policy		TBD	TBD	No	Yes
Health Home/C-YES Transfer Policy	Link	2/1/2021	2/1/2021	Yes	No
DDRO Manual for Children’s Waiver	Link	8/1/2019	8/1/2019	Yes	No
Transfer Process between Children’s and OPWDD Comprehensive Waiver for Care Management and Waiver Services	Link	8/1/2019	8/1/2019	Yes	No
Maintaining OPWDD Level of Care during enrollment in the Children’s Waiver		TBD	TBD	Yes	No
E-Mod/V-Mod Guidance		6/11/19	8/1/19	Yes	No
E-Mod/V-Mod ADM (for LDSS)		6/18/19	8/1/19	No	No